

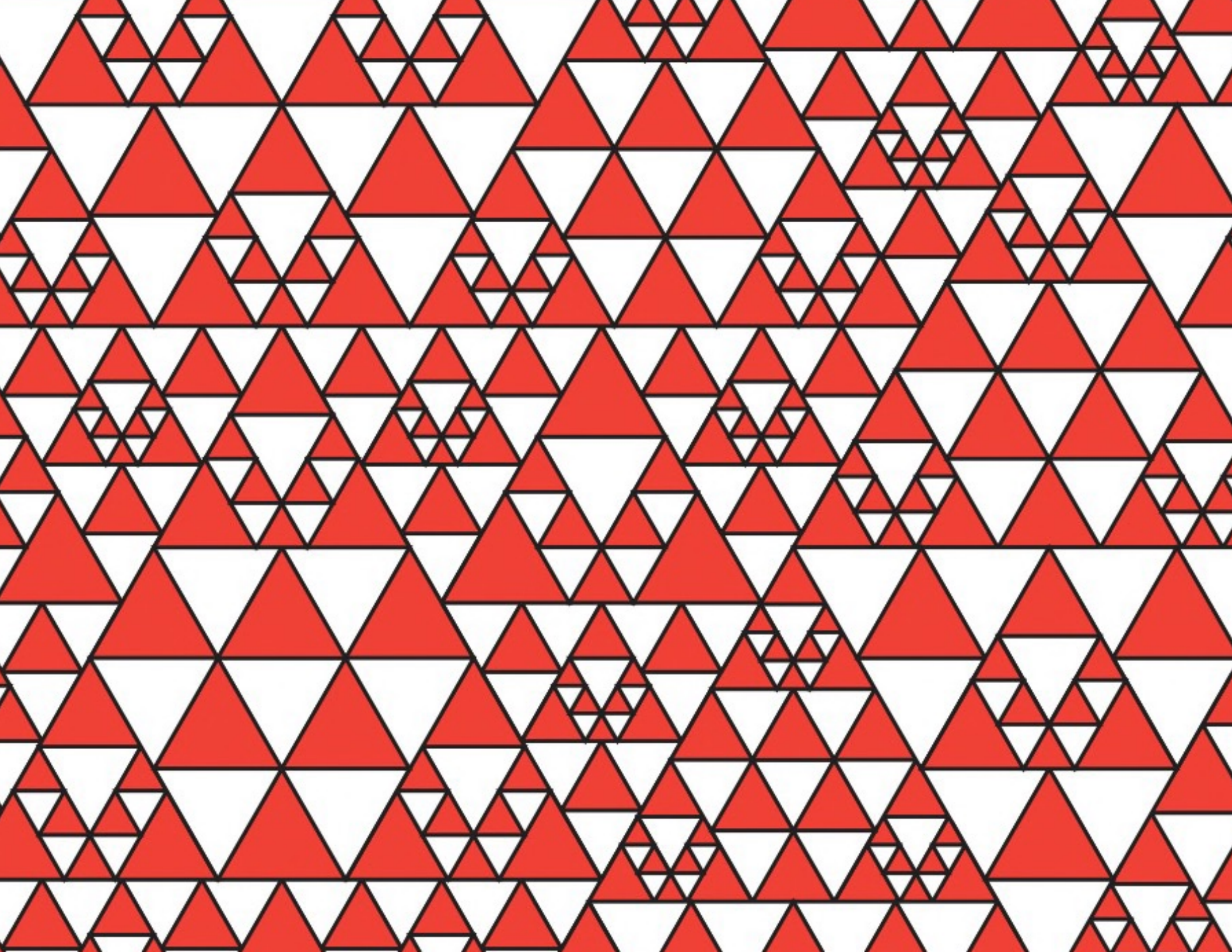
# Multiscale Substitution Tilings

Yotam Smilansky, Rutgers

Dynamical Systems e-Seminar

HUJI, 2020

Joint work with Yar Solomon, BGU



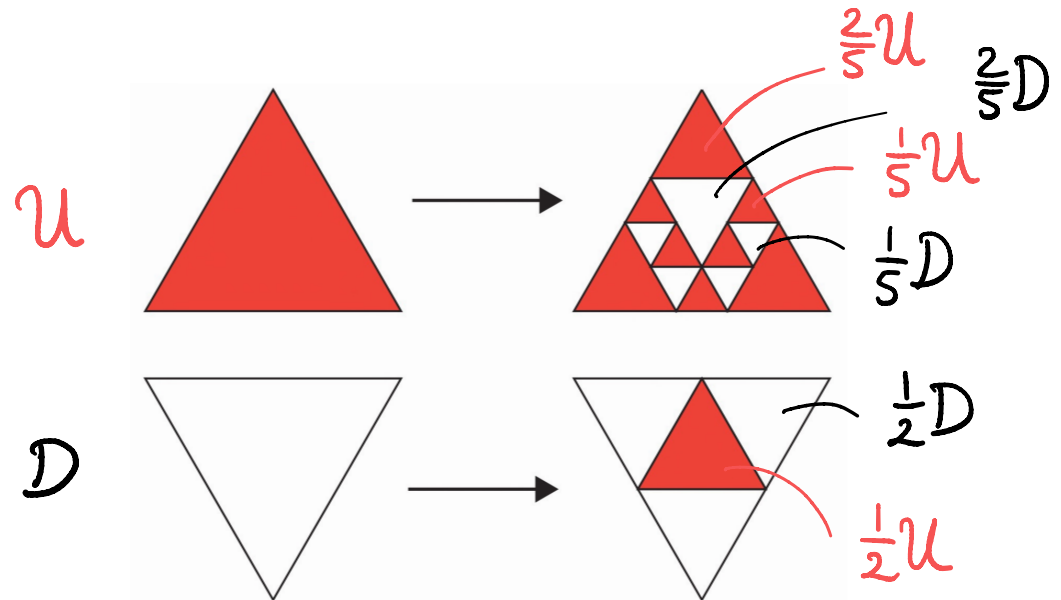
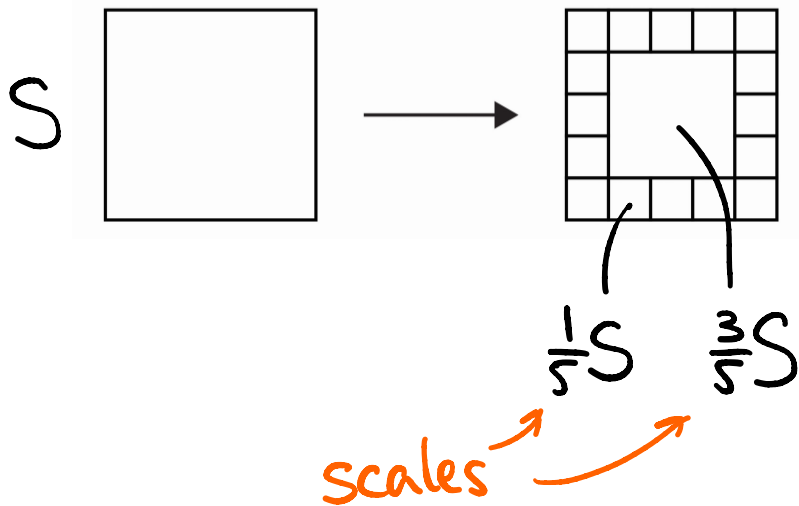
# Multiscale Substitution Schemes

A multiscale substitution scheme  $\sigma = (\tau_\sigma, \rho_\sigma)$  consists of

Prototiles  $\tau_\sigma = (T_1, \dots, T_n)$  # of prototiles  
 of unit volume in  $\mathbb{R}^d$  dimension

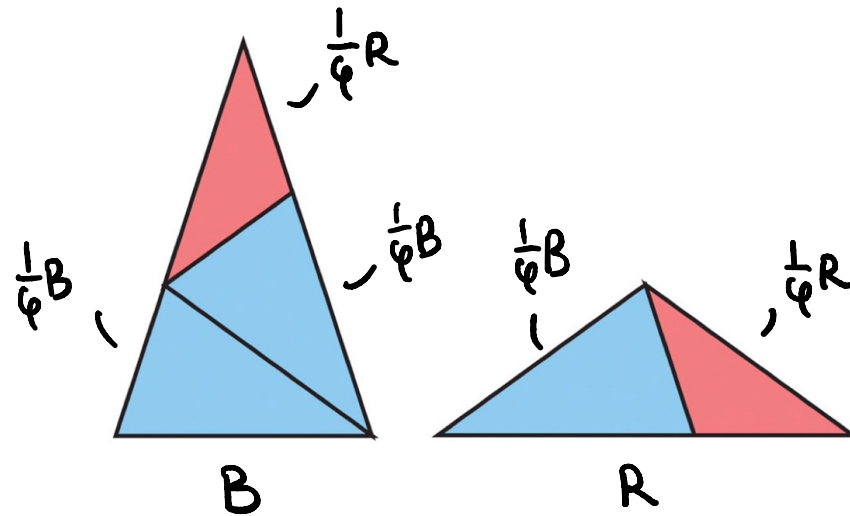
Substitution rule  $\rho_\sigma$  defining a partition  $\rho_\sigma(T_i)$  of each  $T_i \in \tau_\sigma$  into unions of rescaled prototiles

Examples:



# Standard Substitution Tiling Construction

A fixed single scale  $\lambda$  (prototiles may vary in volume)



substitute according  
to substitution rule

rescale uniformly  
by  $1/\lambda$

Every iteration defines a larger patch of tiles



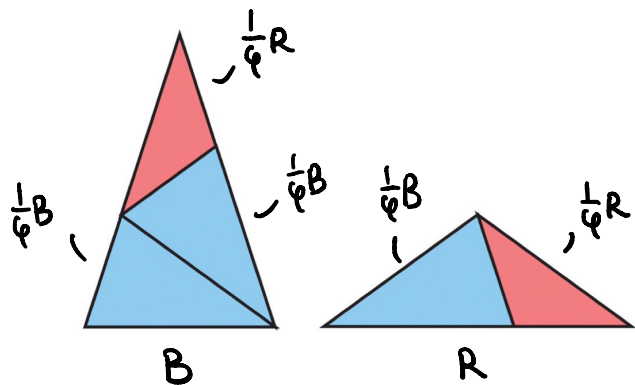
# Standard Substitution Tiling Construction

Taking appropriate limits defines **substitution tilings** of  $\mathbb{R}^d$

- Induce **Delone sets** (rel. dense and unif. discrete)
- Have a finite # of tiles up to translations (sometimes FLC)
- **Substitution matrix**  $S \in M_n(\mathbb{Z})$  (irreducible, sometimes primitive)

$$S_{ij} = \#\{\text{copies of } T_j \text{ in } P_\phi(T_i)\}$$

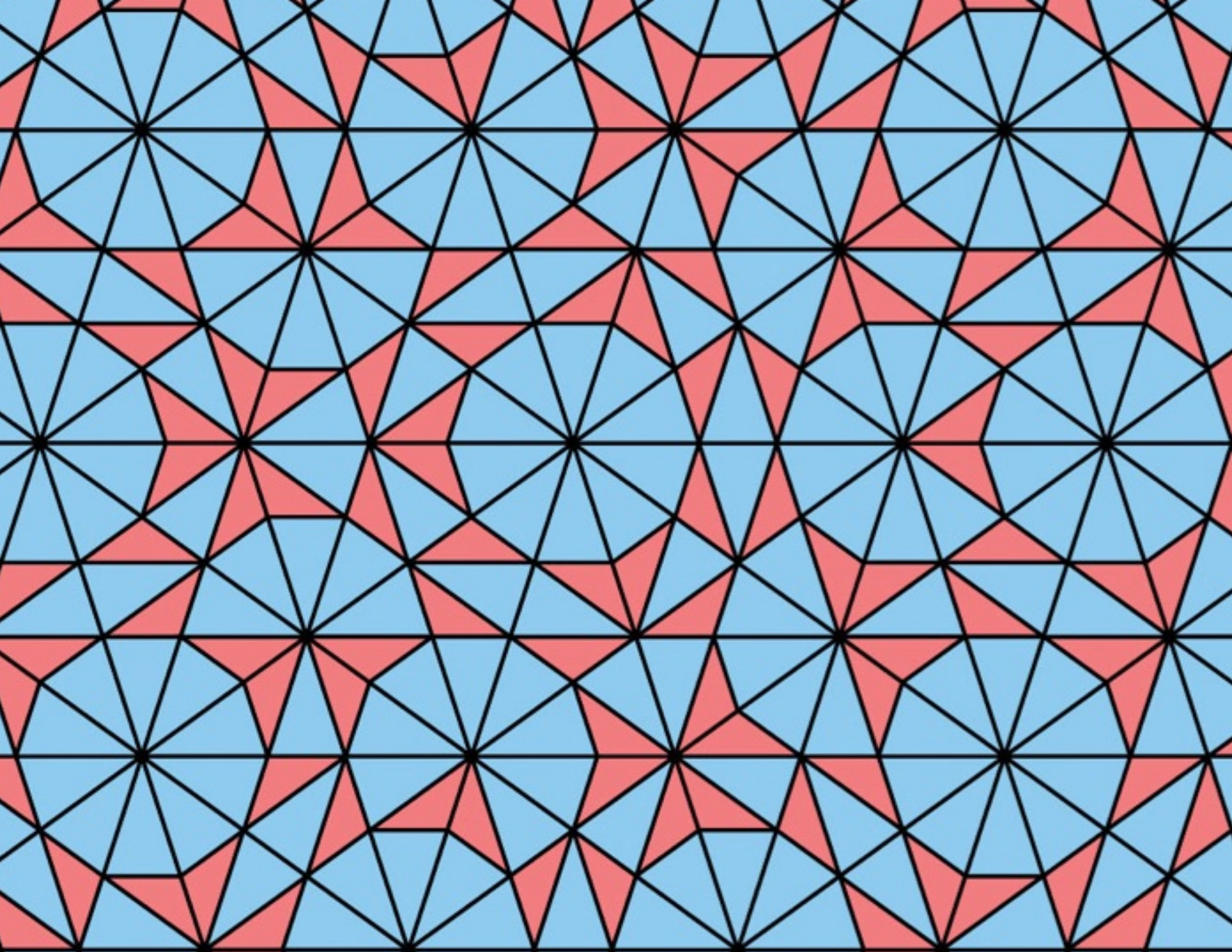
- Perron-Frobenius theory



The substitution matrix is

$$S = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}$$

Perron-Frobenius eigenvalue  $\phi^2$



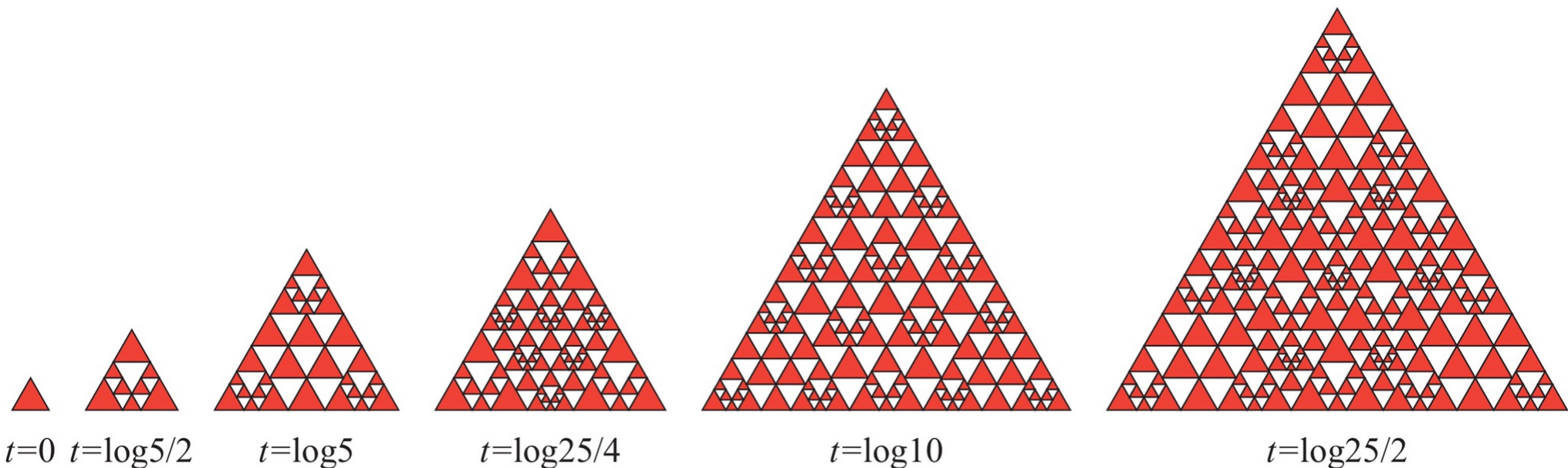


# Substitution Flow

Position  $T_i \in \tau_0$  so that the origin is in the interior of  $T_i$ .

Define the substitution flow  $F_t(T_i)$  for  $t \geq 0$  (time)

- At time  $t=0$   $F_0(T_i) = T_i$  (a patch consisting of 1 tile)
- As  $t$  increases, inflate the patch by  $e^t$  and substitute tiles of volume  $> 1$  via  $p_0$  (substitution rule)



# Tilings As Limits

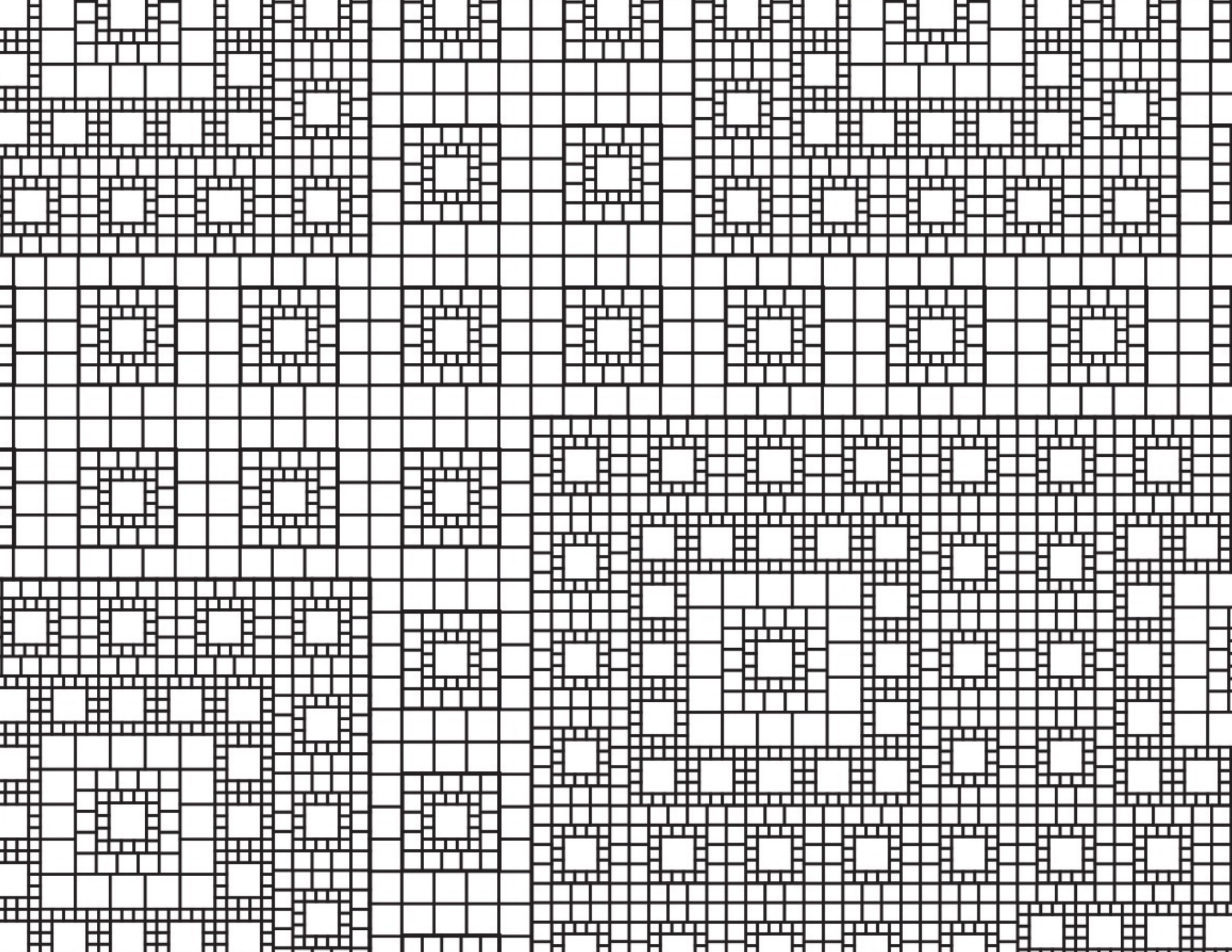
Multiscale substitution tilings are tilings of  $\mathbb{R}^d$  that are limits of translations of patches  $\{F_t(T_i) : t > 0, T_i \in \mathcal{T}_\sigma\}$ , with respect to the Chabauty-Fell topology on the space of closed subsets of  $\mathbb{R}^d$ , induced by the metric

$$D(A_1, A_2) = \inf \left( \left\{ r > 0 : \begin{array}{l} A_1 \cap B(0, 1/r) \subset A_2^{+r} \\ A_2 \cap B(0, 1/r) \subset A_1^{+r} \end{array} \right\} \cup \{1\} \right)$$

"Sets are close if restricting to a large centered ball, each is contained in a small neighborhood of the other"

The tiling space  $X_\sigma$  consists of all tilings generated by  $\sigma$ .





# Stationary Tilings

Choose  $s \in \mathbb{R}$  and an initial position of  $T_i$  so that the patch  $F_s(T_i)$  contains  $T_i$  as a tile in the same position (under the assumptions we will introduce this is possible).

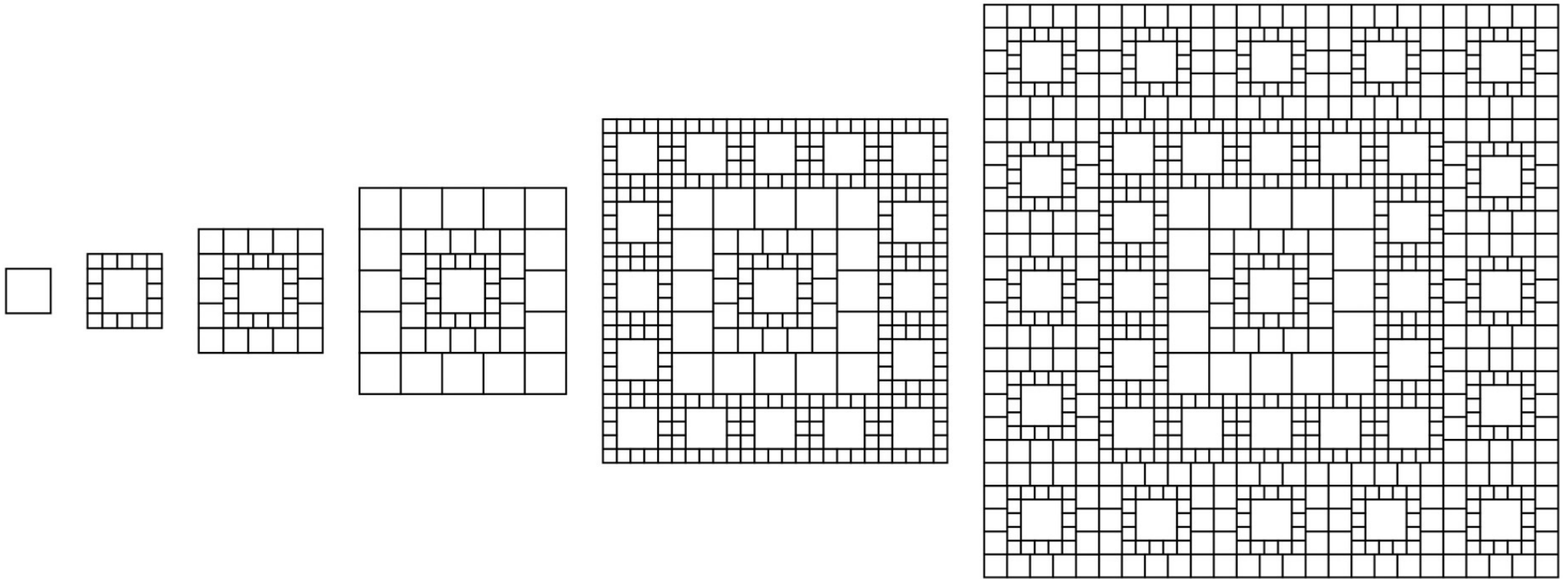
Then for all  $k \in \mathbb{N}$ ,  $F_{ks}(T_i)$  contains  $F_{(k-1)s}(T_i)$ , so

$$S = \bigcup_{k=0}^{\infty} F_{ks}(T_i) \in X_\sigma$$

is a stationary tiling, satisfying  $F_s(S) = S$ .

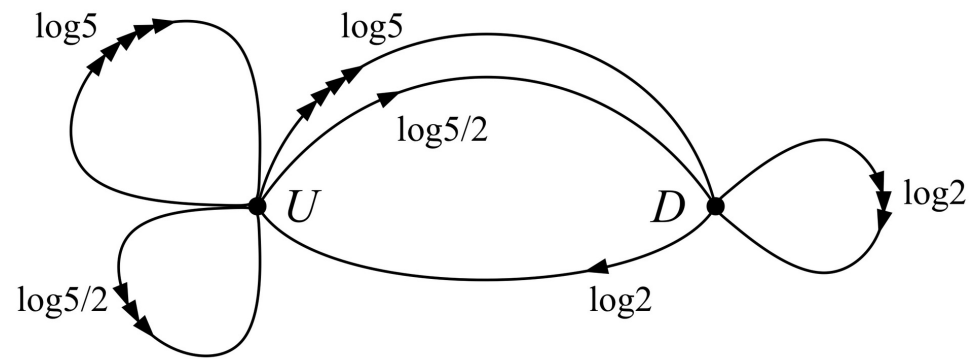
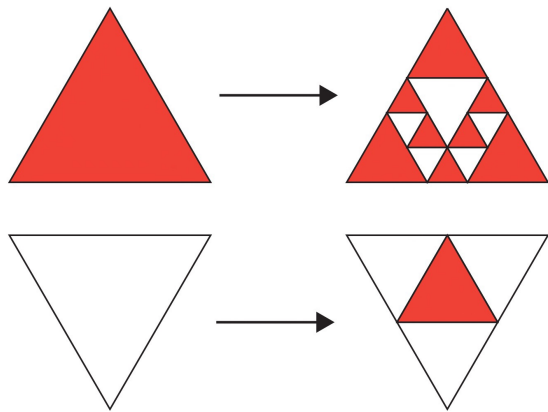
# Example With Square Scheme

Set  $s = \log \frac{5}{3}$  and consider  $F_{ks}(S)$  for  $k = 0, 1, \dots, 5$



# Graph Model For Substitution Schemes

A directed weighted graph  $G_\sigma$  is associated with  $\sigma$



**Vertices** model the prototiles in  $\tau_\sigma$ .

**Edges** originating at a vertex model the tiles appearing in the substitution rule of the corresponding prototile.

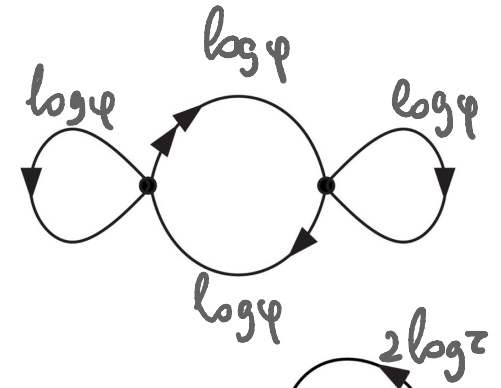
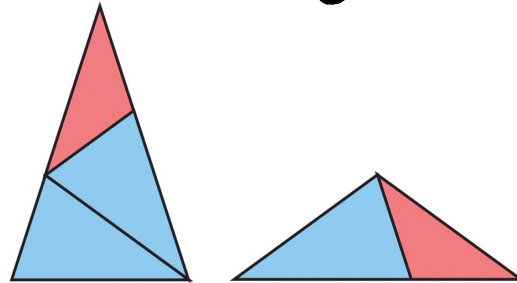
**Lengths** determined by the scales of the tiles ( $\log \frac{1}{\alpha}$ )



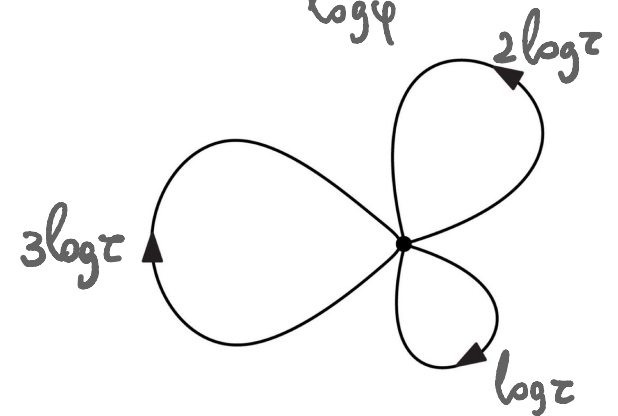
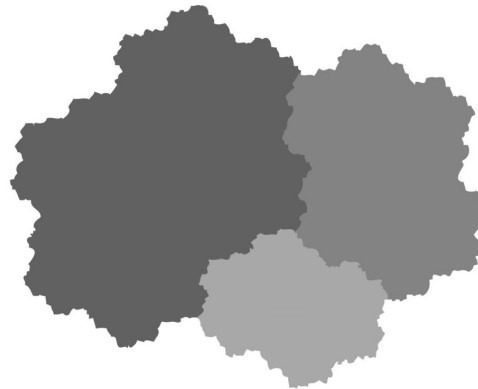
# Incommensurability and Irreducibility

A substitution scheme is **incommensurable** if  $G_\phi$  contains two closed paths of lengths  $a, b$  so that  $\frac{a}{b} \notin \mathbb{Q}$ . It is **irreducible** if  $G_\phi$  is strongly connected.

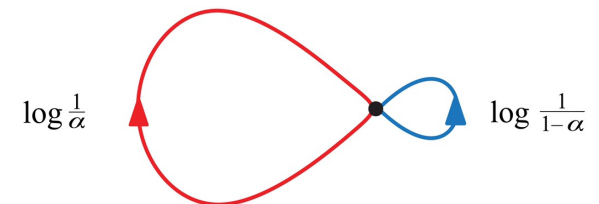
Penrose-Robinson scheme



Rauzy scheme



The  $\alpha$ -Kakutani scheme



# Does This Generate New Tilings?

**Yes!** If we assume incommensurability. In fact

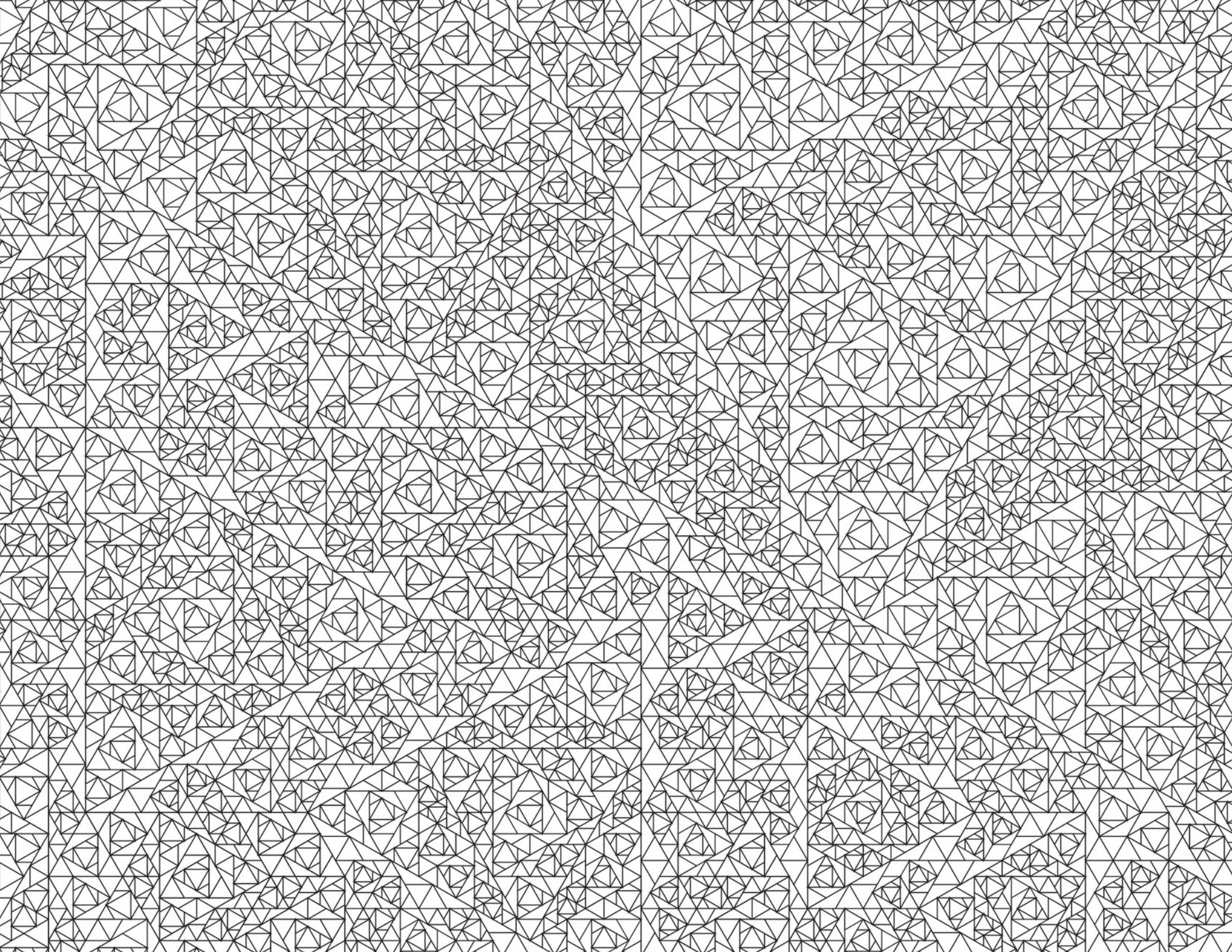
- Commensurable multiscale substitution tilings can be generated as standard substitution tilings generated by some fixed scale scheme.
- Incommensurable tilings can not.

irrationals

rational

From now on all schemes are irreducible and incommensurable.







# Tile Shape and Denseness of Scales

- For every tiling in  $X_6$ , all tiles are similar to rescaled copies of the prototiles in  $\tau_6$ .
- Tiles appear in a dense set of scales within certain intervals of possible scales bounded away from 0.

It follows that tilings induce Delone sets.

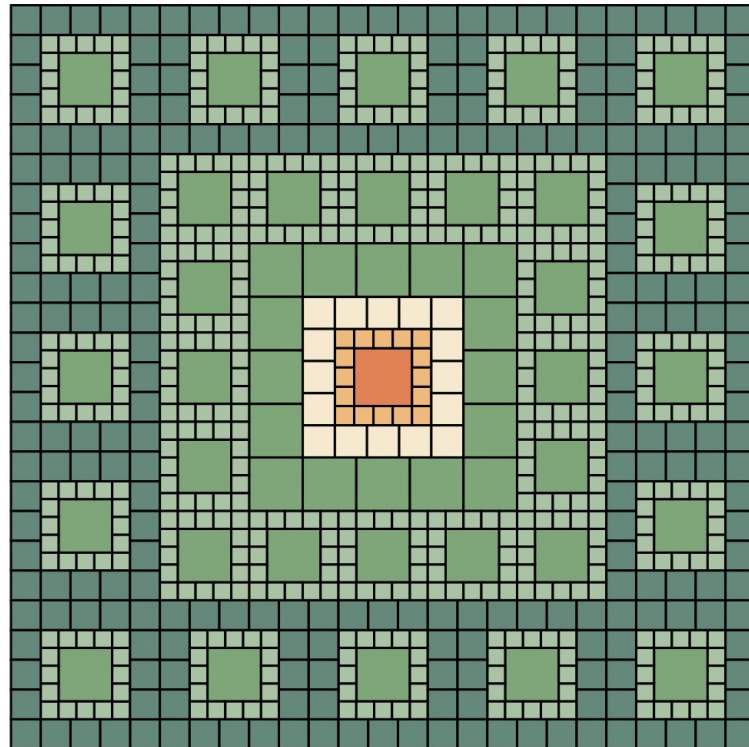
- The same holds for any legal patch - a subpatch of  $F_t(T_i)$  for some  $t \geq 0$  and  $T_i \in \tau_6$ .



# Scale Complexity Theorem

For stationary  $S = \bigcup_{k=0}^{\infty} F_{kS}(T)$  the **complexity function**  $c_S(k)$  counts the number of distinct scales in which tiles appear in  $F_{kS}(T_i)$ .  
If  $c_S(l) = c_S(l+1)$  for some  $l \in \mathbb{N}$  then  $c_S(k) = c_S(l)$  for all  $k \geq l$ , and such  $l$  exists if and only if  $\sigma$  is commensurable.

A "Sturmian"  
tiling, in which  
 $c_S(k+1) = c_S(k) + 1$   
for all  $k \geq 0$

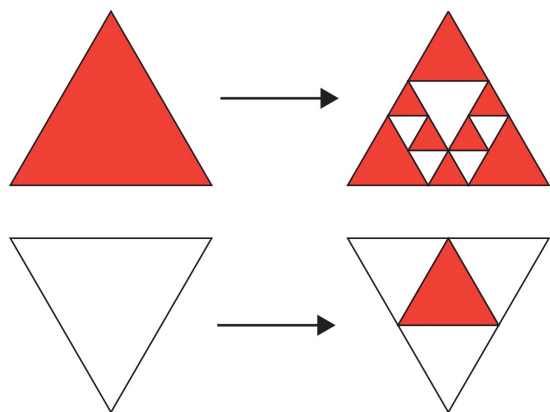


# Explicit Counting Formulas

Consider the following matrices in  $M_n(\mathbb{R})$  associated with  $\sigma$ .

Here  $\sum^*$  denotes summation over all tiles  $T$  of type  $j$  in  $\rho_\sigma(T_i)$

- **Substitution matrix**  $(S_\sigma)_{ij} = \#\{\text{rescaled copies of } T_j \text{ in } \rho_\sigma(T_i)\} = \sum^* 1$
- **Weighted substitution matrix**  $(W_\sigma)_{ij} = \sum^* \text{vol}(T)$  ← total red area in white # of reds in white
- **Entropy matrix**  $(H_\sigma)_{ij} = \sum^* -\text{vol}(T) \log(\text{vol}(T))$  ← contribution of reds to entropy of white



$$S_\sigma = \begin{pmatrix} 8 & 5 \\ 1 & 3 \end{pmatrix}$$

$$W_\sigma = \begin{pmatrix} \frac{17}{25} & \frac{8}{25} \\ \frac{1}{4} & \frac{3}{4} \end{pmatrix} \begin{cases} \text{PF eigenvalue} & = 1 \\ \text{Right PF eigenvector} & = \mathbf{1} = (1, 1)^T \\ \text{Left PF eigenvector} & = \mathbf{w} = (\frac{1}{4}, \frac{8}{25})^T \end{cases}$$

always true

$$H_\sigma = \begin{pmatrix} -\frac{17}{25} \log \frac{17}{25} - \frac{8}{25} \log \frac{8}{25} & -\frac{1}{4} \log \frac{1}{4} - \frac{3}{4} \log \frac{3}{4} \\ -\frac{1}{4} \log \frac{1}{4} & -\frac{1}{4} \log \frac{1}{4} - \frac{3}{4} \log \frac{3}{4} \end{pmatrix}$$

# Explicit Counting Formulas

Consider the following matrices in  $M_n(\mathbb{R})$  associated with  $\sigma$ .

Here  $\sum^*$  denotes summation over all tiles  $T$  of type  $j$  in  $\rho_\sigma(T_i)$

- **Substitution matrix**  $(S_\sigma)_{ij} = \#\{\text{rescaled copies of } T_j \text{ in } \rho_\sigma(T_i)\} = \sum^* 1$
- **Weighted substitution matrix**  $(W_\sigma)_{ij} = \sum^* \text{vol}(T)$  ← total red area in white # of reds in white
- **Entropy matrix**  $(H_\sigma)_{ij} = \sum^* -\text{vol}(T) \log(\text{vol}(T))$  ← contribution of reds to entropy of white

$$\#\{\text{tiles of type } r \text{ in } F_t(T)\} = \frac{[w^T(S_\sigma - W_\sigma)]_r}{w^T H_\sigma \mathbf{1}} \text{vol}(F_t(T)) + o(\text{vol}(F_t(T)))$$

$$\text{vol}(U \text{ tiles of type } r \text{ in } F_t(T)) = \frac{[w^T H_\sigma]_r}{w^T H_\sigma \mathbf{1}} \text{vol}(F_t(T)) + o(\text{vol}(F_t(T)))$$

where  $w \in \mathbb{R}^n$  is a left PF eigenvector of  $W_\sigma$ .

# Distribution of Tile Scales

If in addition we define

- **Density matrix**  $(D_\sigma(x))_{ij} = \sum^* g_{\text{vol}(T)}(x)$ ,  $g_\alpha(x) = \begin{cases} \frac{\alpha}{x^{d+1}}, & \alpha < x < 1 \\ 0 & \end{cases}$

# {tiles in  $F_t(T)$  of type  $r$  and scale in  $[a,b]$ }

$$= \frac{d}{w^T H_\sigma \mathbf{1}} \int_a^b (w^T D_\sigma(x))_r dx \cdot \text{vol}(F_t(T)) + o(\text{vol}(F_t(T)))$$

## Corollaries

- For any  $\alpha$ : # {copies of  $\alpha T_r$  in  $F_t(T)$ } =  $o(\text{vol}(F_t(T)))$ .
- **Gap distribution** for point sets defined as tile boundaries of 1 dimensional tilings

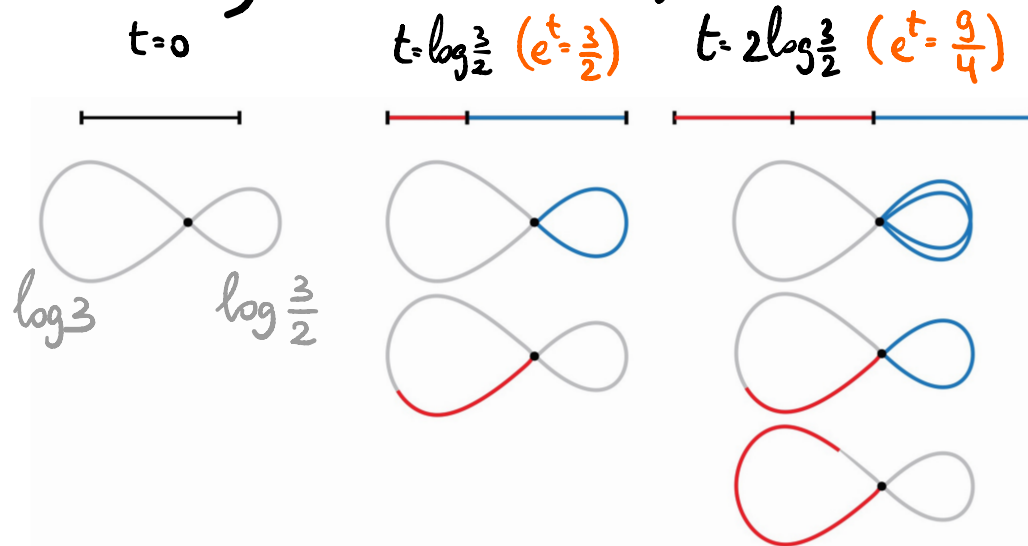
$$\frac{\# \{ \text{neighboring points in } [-N, N] \text{ of distance in } [a, b] \}}{\# \{ \text{points in } [-N, N] \}} \rightarrow \frac{1}{w^T (S_\sigma - W_\sigma) \mathbf{1}} \int_a^b w^T D_\sigma(x) \mathbf{1} dx$$



# Counting: The Substitution Flow and the Graph

- Every tile in  $F_t(T_i)$  corresponds to a unique **metric path** of length  $t$  in  $G_\phi$  which originates at vertex  $i$ .
- If the tile is a copy of  $\alpha T_j$ , then the path terminates on an edge terminating at vertex  $j$ , at distance  $\log \frac{1}{\alpha}$  from  $j$ .

$\frac{1}{3}$  - Kakulani:



- Counting is translated to problems on the distribution of paths on incommensurable graphs [Kiro, Smilansky x2, 2020]

# Bounded Displacement Equivalence

- Delone sets  $\Lambda, \Gamma$  are **BD equivalent** if  $\exists$  bijection  $\varphi: \Lambda \rightarrow \Gamma$  with  $\sup_{x \in \Lambda} \|x - \varphi(x)\| < \infty$  (**BD map**).  
A tiling is **uniformly spread** if  $\exists \Lambda$ , obtained by picking a point from each tile, which is BD equivalent to a lattice.
- **Laczkovich criterion**: being uniformly spread is equivalent to **sufficiently bad** tile counting error terms.
- Tilings in  $X_\delta$  **are never** uniformly spread.
- Moreover, the set of BD equivalence classes represented in  $X_\delta$  has **cardinality of the continuum** [S', Solomon].

# Uniform Patch Frequencies Theorem

Tilings  $T \in X_\sigma$  have **uniform patch frequencies**:

For any legal patch  $P$  in  $T$  and a bounded interval  $I$  that contains a left neighborhood of 1:  $\alpha \in I$

$$\lim_{q \rightarrow \infty} \frac{\# \text{ appearances of } \alpha P \text{ in } T \text{ inside } A_q + h}{\text{vol}(A_q)} =: \text{freq}(P, I, T)$$

exists uniformly in  $h \in \mathbb{R}^d$ , is positive and independent of  $T \in X_\sigma$  and of a choice of a **van Hove sequence**  $(A_q)$  in  $\mathbb{R}^d$ .

(A sequence of bounded measurable sets  $\lim_{q \rightarrow \infty} \frac{\text{vol}((\partial A_q)^{+r})}{\text{vol}(A_q)} = 0$ )

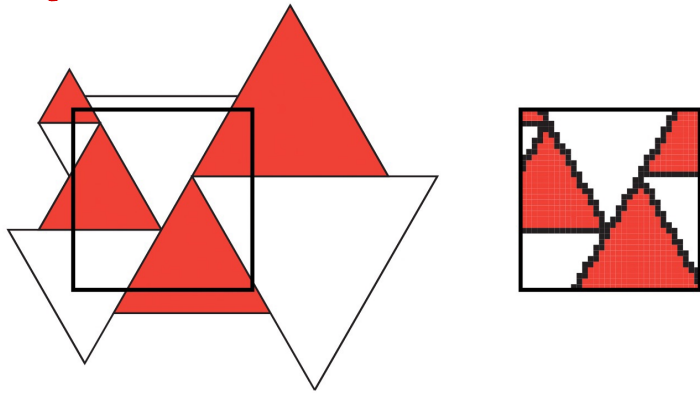


# Dynamics Theorems

- $X_\sigma$  is invariant under translations in  $\mathbb{R}^d$  and under  $F_t$ .  
 $F_t(\mathcal{T} - x) = F_t(\mathcal{T}) - e^t x$  for  $t \geq 0$ ,  $x \in \mathbb{R}^d$  (horospheric and geodesic).
- Periodic orbits of  $F_t$  correspond to stationary tilings.
- The dynamical system  $(X_\sigma, \mathbb{R}^d)$  is minimal.
  - Tilings  $\mathcal{T} \in X_\sigma$  are almost repetitive (relative denseness of return times to  $\varepsilon$ -neighborhoods of patches, for all  $\varepsilon > 0$ ).
  - Every  $\mathcal{T}_1, \mathcal{T}_2 \in X_\sigma$  are almost locally indistinguishable (same  $\varepsilon$ -neighborhoods up to translations, for all  $\varepsilon > 0$ ).
- $(X_\sigma, \mathbb{R}^d)$  is uniquely ergodic.

# Steps of Proof of Unique Ergodicity

- Cylinder sets are defined according to "pixelizations"



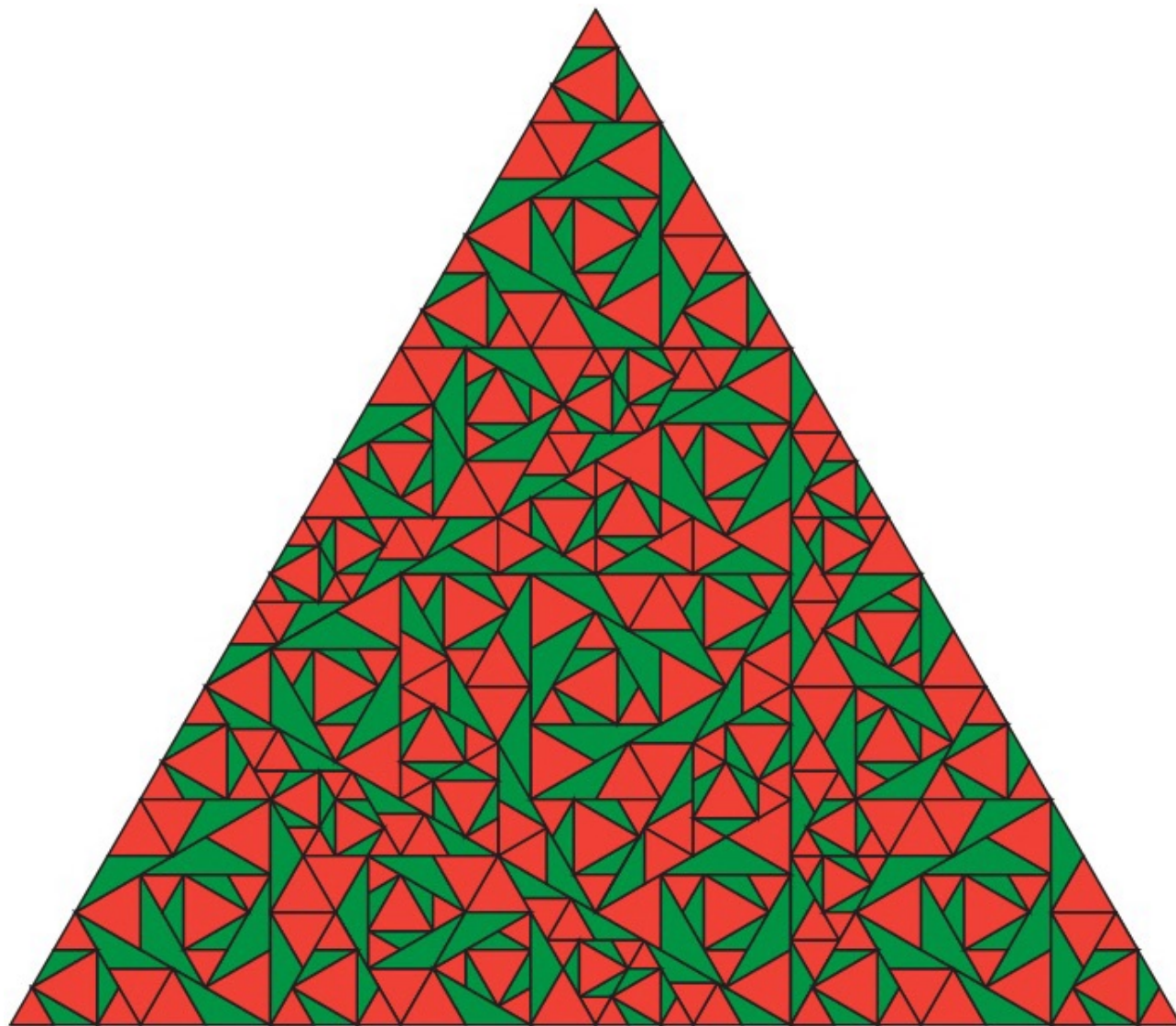
A cylinder set consists of all tilings with a pixelization compatible with a fixed coloring of a large cube

- The ergodic average  $\frac{1}{\text{vol}(A_q)} \int_{A_q} \chi_c(S-x-h) dx$  converges to a countable sum of counting functions of appearances of patches in  $A_q+h$ .
- Denseness of scales in which patches appear allow the interpretation of the sum as a Riemann-Stieltjes integral, allowing an evaluation using the uniform patch frequencies.

# Short Summary of New Tools

- substitution matrix → substitution graph
- discrete-time substitution and inflation → substitution flow
- primitivity → incommensurability
- Perron-Frobenius → distribution of paths on weighted graphs
- uniform patch frequency → UPF with scale intervals
- summation on patches → Riemann-Stieltjes integration





Thanks!